

# 所有權人或實質受益人聲明書(502A)

(客戶為法人者適用)

## 一、所有權人或實質受益人茲此聲明：

- (一) 本法人委任 貴所為提供公司設立(或增資)之準備或進行交易一案，本法人業已自行查驗依註冊所在地之相關所有權與控制權結構，清楚瞭解所有業務性質，並無「洗錢防制法」第 3 條所稱特定犯罪之情形，亦無第 4 條之特定犯罪所得；並業已自行查驗最終直接或間接持有本法人股份或資本超過百分之二十五之自然人屬實質受益人部分，皆已向 貴所揭露。
- (二) 本法人對註冊地國人民、法人、團體或其他機構直接或間接持有本法人股份或出資比率之計算及其直接或間接對本法人是否為實質受益人之研判，確實依照相關認定標準為判斷。
- (三) 本法人承諾，於本交易準備或進行中及終止時， 貴所得為必要查驗時，本法人願意配合提供背後董事及股東名冊(至最終實質受益人)及海外控股架構圖等資料，並同意依 貴所要求，經查驗有違反洗錢防制法相關規定之虞時，願由 貴所將相關資訊提供給法務部調查局申報。本聲明就防制洗錢查驗情形暨所附資料為正確無誤，如有不實，本法人願負一切中華民國之法律責任。

## 二、代理人茲此聲明：

- (一) 本代理人對上述所有權人或實質受益人確實明瞭現行法規對防制洗錢相關規定之定義及股權計算、控制力查驗方式及相關罰則規定。並了解「洗錢防制法」第14條至第16條對代理人相關制裁之規定。
- (二) 本交易案件準備或進行中及終止時五年內， 貴所有需配合法務部調查局查驗時，本代理人有義務督請所有權人及實質受益人提供背後董事及股東名冊(至最終受益人)及海外控股架構圖等資料。

此致

○○○記帳士事務所

本法人名稱：\_\_\_\_\_

(請書寫國籍及法人名稱)

代表人簽署：\_\_\_\_\_

代表人職稱：\_\_\_\_\_

(必填)

代理人及關係：\_\_\_\_\_

(簽名或蓋章)

中華民國            年            月            日

## Owner or Substantial Beneficiary Declaration (502A)

(Customers apply to legal persons)

1. The owner or the substantial beneficiary hereby declares:
  - (1) The legal person has appointed the company to prepare for the establishment (or capital increase) of the company or to conduct a transaction. The legal person has independently checked the relevant ownership and control structure according to the place of registration, and clearly understands the nature of all business. There is no “money laundering prevention”. In the case of a specific offence referred to in Article 3 of the Law, there is no specific criminal proceeds of Article 4; and it has been verified by itself that the natural person who directly or indirectly holds the shares of this legal person or whose capital exceeds 25% is a substantial benefit. Some people have already revealed to you.
  - (2) The calculation of the direct or indirect holding of the shares or the capital contribution ratio of the legal person of the country, the legal person, the group or other institutions of the country of registration and its direct or indirect judgment on whether the legal person is a substantial beneficiary is indeed in accordance with the relevant recognition criteria. For judgment.
  - (3) The legal person undertakes that, in the preparation, in progress and termination of the transaction, the legal income is necessary for the inspection, the legal person is willing to cooperate with the provision of the back director and the register of shareholders (to the ultimate beneficiary) and the overseas holding structure chart, etc. And agree to request the relevant information of the Money Laundering Prevention and Control Law at the request of your company, and you are willing to provide relevant information to the Investigation Bureau of the Ministry of Justice for reporting. This statement is correct and correct in the case of anti-money laundering inspection. If there is any misrepresentation, this legal person is willing to bear all legal liabilities of the Republic of China.
2. Second, the agent hereby declares:
  - (1) The agent has clearly ascertained the definition of the relevant provisions of the current regulations on anti-money laundering and the calculation of equity, the method of checking the control force and the relevant penalties for the above-mentioned owners or substantial beneficiaries. And understand the provisions of Articles 14 to 16 of the Money Laundering Prevention Act on the relevant sanctions of agents.
  - (2) During the preparation of the transaction case or within five years of the termination and termination of the transaction, the agent shall be obliged to supervise the owner and the substantial beneficiary to provide the back director and shareholder register (to the final). Beneficiary) and overseas holding structure chart and other information.

Sincerely

○○○Accounting Office

The name of this legal person : \_\_\_\_\_

(Please write nationality and legal person name)

Signed by the representative : \_\_\_\_\_

Representative title : \_\_\_\_\_

(required)

Agent and relationship : \_\_\_\_\_

(signature or stamp)

Date : \_\_\_\_\_